

Line	Audit Area	Service Area	AD	Risk rating	Days	Additional comments	Phasing
1	General ledger, assets & capital accounting	Finance, Audit & IG	KC	n/a	35	Contributes to External Audit	
2	P2P (creditor payments)	Finance, Audit & IG	KC	n/a	25	Contributes to External Audit	
3	Cash collection	Finance, Audit & IG	KC	n/a	20	Contributes to External Audit	
4	Treasury Management	Finance, Audit & IG	KC	n/a	10	Contributes to External Audit	
5	Corporate Governance (including risk management)	Finance, Audit & IG	KC	n/a	18	Contributes to External Audit	
6	Payroll/HR	Finance, Audit & IG	KC	n/a	25	Contributes to External Audit	
7	Resource allocation system (RAS)	Care & Support	KK	H	12	Early April 2013	
8	Personal budgets support team	Care & Support	KK	H	12	Requested Q3 for audit	
9	Joint commissioning, adults, children's, CCG, partners	Care & Support	KK	H	15		
10	Deprivation of Liberties Safeguarding process (DOLS)	Care & Support	KK	M	3	LA taking over Health responsibilities	
11	Public Health - detailed areas to be determined but initially transfer of contracts	Public Health	CW	M	10	New service	
12	Service Planning & Performance information	Co-operative Council	RP	H	20		
13	Commercial activities/setting up the company	Co-operative Council	RP	H	8		
14	Brokerage/commissioning - how new arrangements are working	Children's Safeguarding	KP	H/M	5	Areas not covered in 12/13 audit	
15	How to C&F consult with stakeholders and how is the info applied	Children's Safeguarding	KP	L/H	5		
16	How education & special education needs are considered when in the safeguarding system	Children's Safeguarding	KP	M/H	10		
17	CAMHS - the needs of children outside the area	Children's Safeguarding	KP	H/M	8		
18	Protocol systems review	Children's Safeguarding	KP	M	8		
19	Housing Benefits audit including welfare reforms	Customer & People Services	AA	H	25	Contributes to External Audit	Nov - January
20	Local council tax support scheme	Customer & People Services	AA	H	25	New local scheme	Nov - January
21	Review of postal arrangements	Customer & People Services	AA	H/M	8		
22	Sales Ledger	Customer & People Services	AA	n/a	22	Contributes to External Audit	Sept - Nov. Draft Nov & finalise before Xmas
23	Council tax	Customer & People Services	AA	n/a	18	Contributes to External Audit	Quarter 2
24	NNDR including monitoring of localisation of business rates	Customer & People Services	AA	n/a	23	Contributes to External Audit	Quarter 2
25	Social Fund - Local Crisis Assistance & Local Resettlement Assistance	Customer & People Services	AA	H	15	New area and local scheme	Quarter 2 - scope agreed June
26	Specialist IT audit work	Customer & People Services	AA	H/M	45	Provided by external contract	
27	Internal IT audit work	Customer & People Services	AA	H/M	35	Provided by team	
28	Catering - areas to be agreed but may include Cafe Go	Customer & People Services	AA	M	10	New and follow up cashless work	
29	Minimising empty homes	Development, Business & Housing	DS	H	6		
30	Disabled facilities grant	Development, Business & Housing	DS	M/H	8		
31	Empty properties and registered providers	Development, Business & Housing	DS	M	5		
32	Contract life cycle review	Development, Business & Housing	DS	M/H	20		Quarter 1
33	Governance of the LEP and associated arrangements	Development, Business & Housing	DS	M	5		
34	BSF project assurance	Education & Skills	JC	M	4		
35	Schools (18-20 schools)	Education & Skills	JC	H/M	120	Duration since last audit too	
36	Teaching school status schools - the Bridge; Newport Inf; St Peter's Bratton	Education & Skills	JC	M	6		
37	Impulse system	Education & Skills	JC	M	6		
38	Audit of European Social Fund programme	Education & Skills	JC	M	5		
39	School improvement team processes	Education & Skills	JC	M	7		
40	Trading with schools - buy back project assurance but could be extended	Education & Skills	JC	M	5		
41	Ice Rink - project assurance	Neighbourhood & Leisure Services	JR	M	5		
42	Waste Project assurance	Neighbourhood & Leisure Services	JR	H/M	4		
43	Flex system review	Neighbourhood & Leisure Services	JR	H/M	8		
44	Stirchley Rec	Neighbourhood & Leisure Services	JR	H	7	Last audit 2007/08	

45	Ski Centre	Neighbourhood & Leisure Services	JR	H	7	Last audit 2007/08	
46	Wellington Leisure Centre & Cafe	Neighbourhood & Leisure Services	JR	M	8	Last audit 2008/09	
47	Park & Ride - first full year - contract and cash collection	Neighbourhood & Leisure Services	JR	M	7		
48	Parks for People - auditing grant/lottery funding	Neighbourhood & Leisure Services	JR	M	5		
49	Music Education Hub - Council and Arts Council money	Neighbourhood & Leisure Services	JR	M	7		
50	Professional Services Contract annual review	Neighbourhood & Leisure Services	JR	M	3	As agreed Guy Biddulph Quarter 3	
51	Early Intervention - Team Around the Child	Family & Cohesion Services	CJ	H/M	10		
52	Youth offer including the voluntary sector	Family & Cohesion Services	CJ	H/M	10		
53	Triage process	Family & Cohesion Services	CJ	H/M	8		
54	Youth offending offer	Family & Cohesion Services	CJ	M	8	Non core offer	Quarter 3/4
55	Short break statements - disabled/respice and short breaks	Family & Cohesion Services	CJ	M	6		
56	Education psychology - Helen Didlock	Family & Cohesion Services	CJ	M	6		
57	Fleet management processes	Family & Cohesion Services	CJ	M	5		
58	Emergency Planning - public health resilience	Law, Democracy & Public Protection	JE	H	5		
59	Single Status project assurance and maybe testing	Law, Democracy & Public Protection	JE	H	5		
	Total for new audits				796		
	Advice & guidance				30	41 for 12/13	
	Follow ups				35	25 for 12/13	
	Proactive fraud work & NFI				40	40 for 12/13	Blue Badge; Concessionary travel
	Contingency				75	103 for 12/13	
	Sub total				976		
	Available days				976		