

| Audit   | Original Opinion | Updated Opinion | Comments  | Status as at 31.03.13   |
|---|------------------|-----------------|---|---|
| Safer Stronger Communities Partnership (SSCP) | Yellow           | Yellow          | Various follow up's have been undertaken due to changes with the team / working practices and the team have also recently had a restructure so again some actions have been delayed while this has taken place. | Regular follow up's have been undertaken however due to re-structure there have been significant delays in implementing the agreed recommendations – 2 are still in progress. Last follow up (5 <sup>th</sup> ) undertaken March 2013 – Agreed to carry out 6 <sup>th</sup> follow up at end of September 2013. |
| ICT Release Management                        | Yellow           | Yellow          | Previous follow ups have been undertaken but there has been insufficient progress made to change the audit opinion.   | Update will be provided to the next appropriate Audit Committee   |
| Confirm System                                | Amber            | Yellow          | Previous follow up reviews have been undertaken and we have seen some implementation of recommendations however there are still outstanding recommendations to be addressed.                                    | Update will be provided to the next appropriate Audit Committee   |
| ICT Governance                                | Amber            | Yellow          | Previous follow up reviews have been undertaken and we have seen some implementation of recommendations however there are still outstanding recommendations to be addressed.                                    | Update will be provided to the next appropriate Audit Committee   |
| Protocol System Review                        | Amber            | Yellow          | Previous follow up reviews have been undertaken and we have seen some implementation of recommendations however there are still outstanding recommendations to be addressed.                                    | Full audit due Quarter 1 of 2013/14 plan.   |

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| ICT Change management   | Amber            | Yellow          | Previous follow up reviews have been undertaken and we have seen some implementation of recommendations however there are still outstanding recommendations to be addressed. | Update will be provided to the next appropriate Audit Committee  |
| Community Centres   | Yellow           | Green           | Follow up delayed due to service review and a scrutiny review. Follow up completed in May 2013.  | No further follow up required.   |
| Putting People First – Mainstreaming & Developing Personalisation | Yellow           | Yellow          | Due to changes arising from the service restructure revised implementation dates were agreed with Management and the follow up is due to take place in January 2013.         | Follow up completed in March 2013. No change to grade as insufficient progress evidenced. Next follow up to be undertaken in December 2013 to allow service area to address issues raised. |
| Software Licensing  | Yellow           |                 | Follow up in progress  | Update will be provided to the next appropriate Audit Committee  |
| ICT Back up and Recovery  | Amber            | Yellow          | Previous follow up reviews have been undertaken and we have seen some implementation of recommendations however there are still outstanding recommendations to be addressed. | Update will be provided to the next appropriate Audit Committee  |
| Server Virtualisation   | Yellow           |                 | Follow up due April 2012 however delays due to office moves and restructures.  | Update will be provided to the next appropriate Audit Committee  |
| P2 Net  | Yellow           |                 | Follow up completed in April 2012. Insufficient progress made to changed audit opinion. 3rd follow up in progress.   | Follow Up currently in progress. Update will be provided to the next Audit Committee   |

STATUS ON FINAL REPORTS ISSUED APRIL 2010 TO DECEMBER 2012

APPENDIX C

| Audit  | Original Opinion | Updated Opinion | Comments  | Status as at 31.03.13  |
|--|------------------|-----------------|---|--|
| Abacus system                                | Amber            | Amber           | Follow up completed in August 2012. Some progress has been achieved but insufficient to change the grading. 2nd follow up undertaken in December also insufficient progress made to change grading. Further follow up due March 2013. | Follow up completed in March 2013 and reported to Audit Committee. Further follow up will be undertaken in June 2013 and agreed report back to September Audit Committee.  |
| ICT Procurement                              | Yellow           | Yellow          | Follow Up completed in January 2013 but no change to grade because although good progress there are still some recommendations outstanding.   | Further Follow up to be completed July 2013.   |
| Supplier Query Review                        | Yellow           | Yellow          | Follow up included in the Property & Design Helpdesk audit which is now complete. Only 2 out of the 7 recommendations had been implemented.   | This review was included in the Property & Design Help Desk audit which was completed in March 2013 and due to be followed up in September 2013. Further follow up of the supplier query review will be included in the Property & Design Help Desk follow up. |
| ICT Infrastructure Contract & Project review | Yellow           |                 | Follow up in to commence January 2013   | To be incorporated in ICT contract monitoring audit quarter 2. Update will be provided to the next appropriate Audit Committee   |
| Hospital Discharge Review                    | Yellow           |                 | Follow up in progress.  | Update will be provided to the next appropriate Audit Committee.   |
| Email Arrangements                           | Yellow           |                 | Follow up due August 2012 but due to resource pressures within ICT it was agreed that all follow ups would be postponed and currently in progress.  | Update will be provided to the next appropriate Audit Committee  |

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APPENDIX C

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|---|----------------------|-----------------|---|---|
| Markets & cash collection               | Amber                | Amber           | Follow up completed but no change to grade. Follow ups undertaken in September 2012 and January 2013. | Further follow up due June 2013.  |
| Planning Application Fees               | Yellow               | Yellow          | Follow up in progress   | Further follow up due June 2013   |
| Building Control Fees                   | Yellow               | Yellow          | Follow up in progress   | Further follow up due November 2013   |
| Town Park Visitor Centre                | Amber                | Green           | Follow up in progress   | No further follow up required.  |
| SS Peter and Paul School                | Amber                | Green           | Follow up December 2012   | No further follow up required.  |
| St Lawrence School                      | Yellow               | Green           | Follow up October 2012  | No further follow up required.  |
| Jacobs replacement contract             | Yellow               | Green           | Follow up October 2012  | No further follow up required.  |
| Carbon Reduction Commitment             | Yellow               | N/a             | Annual review   | No further action – annual review   |
| Telford Tennis Centre                   | Amber                | Yellow          | Follow up undertaken and grade changed to yellow.   | Further follow up due July 2013   |
| Insurance                               | Yellow               | Green           | Follow up January 2013  | No further follow up required.  |
| Bank Contract Review                    | Amber                | Yellow          | Follow up due November 2012 and undertaken February 2013  | Further follow up due May 2013. Update will be provided to the next appropriate Audit Committee |
| HR Allowances                           | Yellow               | Green           | Follow up January 2013  | No further follow up required.  |
| Network Infrastructure Report           | Various – see below+ |                 | Follow up commenced March 2013  | Update will be provided to the next appropriate Audit Committee                                 |
| Agresso – Post Implementation Review    | Yellow               | N/a             | During Annual General Ledger audit  | Covered in General Ledger audit 2012/13   |
| Supported Lodgings                      | Yellow               | Green           | Follow up December 2012   | No further follow up required.  |
| Teenage Pregnancy Governance Structures | Yellow               | Yellow          | Follow up March 2013 and grade remained yellow  | Further follow up due July 2013   |
| GIS Application                         | Yellow               | Yellow          | Follow up January 2013 and grade remained yellow.   | Further follow up due September 2013.   |

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| ICT Procurement – Follow Up             | Yellow           | N/a             | Follow up January 2013                               | To be follow up as part of ICT tender processes review in 2013/14 audit plan. |
| Contract Changes & Waivers              | Yellow           |                 | Follow up in progress                                | Update will be provided to the next appropriate Audit Committee               |
| Young People In Transition & Enablement | Yellow           |                 | Follow up in progress.                               | Update will be provided to the next appropriate Audit Committee               |
| Church Aston Infants School             | Yellow           | Green           | Follow up completed and satisfactory                 | No further work required.   |
| Council Tax                             | Yellow           | N/a             | 2013-14 Annual Audit                                 | Recommendations raised in annual audit.                                       |
| NNDR                                    | Yellow           | N/a             | 2013-14 Annual Audit                                 | Recommendations raised in annual audit.                                       |
| Procurement – Contract Monitoring       | Yellow           | Yellow          | Follow up completed May 2013 and no change to grade. | Further follow up to be undertaken in September 2013.                         |

+ = Various assurances split between the areas of work - Network Infrastructure – **Limited**; Virus Protection – **Reasonable**; Data Centre – **Reasonable**; Wireless Network - **Good**

\* - undertaken by Deloitte under specialist IT audit contract