

Line	Audit Area	Service Area	AD	Risk rating	Days	Priority	Quarter
1	General ledger, assets & capital accounting	Finance, Audit & IG	KC	n/a	30	ALL	Qtr 3/4
2	P2P (creditor payments)	Finance, Audit & IG	KC	n/a	25	ALL	Qtr 2/3
3	Cash collection	Finance, Audit & IG	KC	n/a	20	ALL	Qtr 2
4	Treasury Management	Finance, Audit & IG	KC	n/a	10	ALL	Qtr 1
5	Corporate Governance (including risk management)	Finance, Audit & IG	KC	n/a	25	ALL	Qtr 1
6	Payroll/HR	Finance, Audit & IG	KC	n/a	18	ALL	Qtr 3
7	Pensions systems and processes	Finance, Audit & IG	KC	n/a	7	ALL	Qtr 2
8	Resource allocation system (RAS)	Adult Social Services	RS	H	12	4 & 6	Qtr 4
9	Personal budgets support team	Adult Social Services	RS	H	12	4 & 6	Qtr 1
10	Adults safeguarding - review revised policies and electronic records/working	Adult Social Services	RS	H	15	4 & 6	Qtr 1
11	Direct Payments	Adult Social Services	RS	H	10	4 & 6	Qtr 2
12	Compliance with requirements of Approved Social Workers and ASYE – “Assessed and Supported Year in Employment” for newly qualified social workers.	Adult Social Services	RS	H	8	4 & 6	Qtr 3
13	Support to Transformation Project and Abacus follow ups	Adult Social Services	RS	H	10	4 & 6	All
14	Public Health - compliance with NICE requirements	Health, Well-Being & Public Protection	LN	M	10	6	Qtr 2
15	Commissioning - public health compliance with contract procedure rules	Health, Well-Being & Public Protection	LN	M	5	6	Qtr 2
16	Primary Authority Agreements - assurance on arrangements and expansion	Health, Well-Being & Public Protection	LN	M	3	2, 5 & 6	Qtr 3
17	Food Hygiene rating scheme	Health, Well-Being & Public Protection	LN	M	5	5	Qtr 3
18	Voluntary Sector Contracts / Agreements	Co-operative Council	RP	H	5	ALL	Qtr 1
19	Service Planning & Performance information	Co-operative Council	RP	H	10	ALL	Qtr 2
20	Commercial activities/setting up the company	Co-operative Council	RP	H	8	2	All
21	Brokerage/commissioning - how new arrangements are working	Children's Safeguarding & Special Services	KP	H/M	8	1, 4	Qtr 2
22	SEN - support introduction of workspace and then full audit 2015/16 (especially when LAC are in safeguarding system)	Children's Safeguarding & Special Services	KP	H/M	10	1, 4	Qtr 1/2
23	Single assessment - review following implementation	Children's Safeguarding & Special Services	KP	M	10	1, 4	Qtr 3/4
24	Leaving care processes especially decision making, authorisation and financial aspects	Children's Safeguarding & Special Services	KP	H	8	1, 4	Qtr 1
25	Disruptions to placements - reviewing and learning from lessons learnt	Children's Safeguarding & Special Services	KP	M	5	1, 4	Qtr 2/3
26	Care leavers - process for risk assessing and allocating work including reviews	Children's Safeguarding & Special Services	KP	M	5	1, 4	Qtr 3
27	SEN Payments - Residential schools	Children's Safeguarding & Special Services	KP	H/M	5	1, 4, 6	Qtr 1
28	Section 17 Payments - review processes	Children's Safeguarding & Special Services	KP	H	4	1, 4	Qtr 2
29	Housing Benefits audit	Customer Services	AA	H	25	6, 7	Qtr 3
30	Local council tax support scheme	Customer Services	AA	H	20	6, 7	Qtr 3
31	Sales Ledger	Customer Services	AA	n/a	20	ALL	Qtr 2/3
32	Council tax	Customer Services	AA	n/a	18	ALL	Qtr 1/2
33	NNDR	Customer Services	AA	n/a	20	ALL	Qtr 1/2
34	Specialist IT audit work (see further information below)	Customer Services	AA	H/M	55	ALL	ALL
35	Internal IT audit work (see further information below)	Customer Services	AA	H/M	30	ALL	ALL
36	Catering - follow ups and commercial activities	Customer Services	AA	M	10	2 & 6	Qtr 2/3
37	Completion of Shared Lives work commenced March 2014	Customer Services	AA	H	5	4, 6 & 7	Qtr 1
38	Free school meals	Customer Services	AA	M	8	1, 4 & 6	Qtr 3/4
39	Registrars	Customer Services	AA	M	5	4 & 6	Qtr 2/3
40	Social Lettings Agency	Development, Business & Employment	K Callis	M	5	6	Qtr 2
41	Disabled facilities grant	Development, Business & Employment	K Callis	M	3	6	Qtr 2/3
42	Property Investment Portfolio - Vacant units process review	Development, Business & Employment	K Callis	M	5	6	Qtr 1/2
43	Property Investment Portfolio - Review of bad debts processes	Development, Business & Employment	K Callis	H	10	Qtr 2/3	
44	Governance of the LEP and associated arrangements	Development, Business & Employment	K Callis	M	4	2	Qtr 2
45	Housing Investment Project - governance/assurance reviews	Development, Business & Employment	K Callis	H	4	2 & 6	ALL
46	MOD Donnington - project assurance reviews	Development, Business & Employment	K Callis	H	5	2 & 6	ALL
47	BSF project assurance	Education & Corporate Parenting	JC	M	3	1, 3	ALL
48	Schools (16 schools)	Education & Corporate Parenting	JC	H/M	128	1, 3, 4	ALL
49	Teaching school status schools - currently Newport Infants; but also the Bridge; St Peter's Bratton	Education & Corporate Parenting	JC	M	6	1, 3, 4	Qtr 2
50	Trading with schools - within and outside the Borough, review of arrangements	Education & Corporate Parenting	JC	M	5	2 & 3	Qtr 2
51	Oakengates Leisure Centre (last audit 2009/10)	Neighbourhood & Leisure Services	JR	M	7	4 & 6	Qtr 3

52	Phoenix Sports Centre (Last audit 2010/11)	Neighbourhood & Leisure Services	JR	H	7	4 & 6	Qtr 3
53	Telford Ice Rink (last audit 2010/11)	Neighbourhood & Leisure Services	JR	H	8	4 & 6	Qtr 2
54	New waste contract - quality monitoring	Neighbourhood & Leisure Services	JR	M	5	2, 5, 6 & 7	Qtr 4
55	Pride in Telford - governance and decision making to allocate resources and then evaluation of impact/added value	Neighbourhood & Leisure Services	JR	M	3	5, 6 & 7	ALL
56	Halcrow contract - audit requirements of the contract	Neighbourhood & Leisure Services	JR	M	5	5 & 6	As req'd
57	Early Intervention -Common Assessment Framework & Team Around the Child	Family, Cohesion & Commissioning	CJ	H/M	10	1,3,4 & 6	Qtr 3/4
58	Troubled Families Grant (July/October)	Family, Cohesion & Commissioning	CJ	M/L	3	1,4 & 6	Qtr 2/3
59	Better Care Fund - support and review of processes/procedures	Family, Cohesion & Commissioning	CJ	H	5	6	ALL
60	Youth offending offer	Family, Cohesion & Commissioning	CJ	M	8	1,4	Qtr 2
61	Commissioning - review of quality monitoring processes	Family, Cohesion & Commissioning	CJ	M	6	1,4	Qtr 2/3
62	Children & Sexual Exploitation pathway – quality monitoring/assurance	Family, Cohesion & Commissioning	CJ	M	8	1,3,4	Qtr 3
63	How to C&F consult with stakeholders and how is the info applied	Family, Cohesion & Commissioning	CJ	L/H	5	1,4 & 6	Qtr 4
64	Procurement - areas to be agreed with Strategic Procurement Manager but to include GPC	Law, Democracy & People Services	JE	H	15	ALL	ALL
65	Individual Electoral Registration (IER)	Law, Democracy & People Services	JE	H	5	ALL	Qtr 3/4
66	Recruitment processes and portal operations	Law, Democracy & People Services	JE	M	10	ALL	Qtr 3
	Total for new audits				807		
	Advice & guidance				40	ALL	
	Follow ups				40	ALL	
	Proactive fraud work & NFI				40	ALL	
	Contingency				91	ALL	
	Sub total				1018		
	Available days				1018	0	
34	SPECIALIST IT AUDIT CONTRACTOR (55 DAYS)						
Line	Audit Area	Service Area	AD	Risk rating	Days	Priority	Quarter
1	Incident & Problem Management	Customer Services	AA	H	10	ALL	Qtr 4
2	Change/release Management	Customer Services	AA	M	10	ALL	Qtr 4
3	Performance & Capacity management (including stability)	Customer Services	AA	H	10	ALL	Qtr 3
4	Review of Upgrade of Sharepoint 2013	Customer Services	AA	M	5	ALL	Qtr 3
5	Digitisation Project review - mobile apps/CRM -security & resilience	Customer Services	AA	M	8	ALL	Qtr 2
6	ICT Strategy Review	Customer Services	AA	H	5	ALL	Qtr 4
7	Information Governance Framework review	Finance, Audit & IG	KC	M	7	1,4,6	Qtr 3
	SUB - TOTAL				55		
35	INTERNAL AUDIT RESOURCE (30 DAYS)						
8	Review a sample of ICT procurement tenders to ensure adhering to proper processes	Customer Services	AA	H	6	ALL	Qtr 2
9	New starters, leavers and movers - review ICT processes and communications from People Services	Customer Services & Law, Democracy & People Services	AA/JE	H	8	ALL	Qtr 2
9	Care First application review	Adult Social Services	RS	M	5	4,6	Qtr 3/4
10	Agresso application Review	Finance, Audit & IG	KC	M	5	ALL	Qtr 2/3
11	Review arrangements and then Post Implementation Review of data centre move	Customer Services	AA	H/M	3	ALL	Qtr 3/4
12	Adults transformation - ICT governance and links to children's programme	Customer Services	AA	H/M	3	1,4,6	Qtr 2
	SUB - TOTAL				30		
	TOTAL				85		
	PRIORITIES						
1	Put our children and young people first						
2	Protect and create jobs as a 'Business Supporting, Business Winning Council'						
3	Improve local people's prospects through education and skills training						
4	Protect and support our vulnerable children and adults						
5	Ensure that neighbourhoods are safe, clean and well maintained						
6	Improve the health and wellbeing of our communities and address health inequalities						
7	Regenerate those neighbourhoods in need and work to ensure that local people have access to suitable housing						