

STATUS ON FINAL REPORTS ISSUED APRIL 2012 TO JUNE 2014

APPENDIX D

Audit	Original Opinion	Updated Opinion	Comments	Status as at 31.12.14
Putting People First – Mainstreaming & Developing Personalisation	Yellow	Yellow	Follow up completed in March 2013. No change to grade as insufficient progress evidenced. Further follow up undertaken in December 2013 & January 2014	Regular follow up's have been undertaken however due to changes in follow up process no further follow up to be undertaken.
Abacus system & Abacus concerns	Amber Red	Amber	Follow up completed in August 2012. Some progress has been achieved but insufficient to change the grading. 2nd follow up undertaken in December also insufficient progress made to change grading. Further follow up/reports to Audit Committee March & September 2013 and January and June 2014.	Further update to January 2015 meeting
Homelessness Threshold	Yellow	Yellow	Follow up undertaken January 2014 but insufficient progress made to change rating.	Further follow up issued June 2014 but not returned. Due to changes in follow up process no further follow up to be undertaken.
Disabled Facilities Grants	Yellow	Yellow	Follow up due June 2014	Follow up undertaken but insufficient progress made to change rating. Further follow up commenced November 2014
Transport Post Implementation Review	Amber	Superseded	Follow up commenced February 2014	Follow up overtaken by issues with the supplier so no longer applicable.
I World	Yellow	Yellow	Follow up undertaken February 2014 but insufficient progress made to change rating.	Following meeting with ICT no further follow up to be undertaken
Telford Snowboarding & Ski Centre	Amber	Yellow	Follow up completed February 2014	Follow up sent October 2014 but not returned so remains Yellow.
Moorfield Primary School	Red	Amber	Follow up completed January 2014	Follow up visit November 14. Remains Amber therefore further follow up due February 2015

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Temporary Accommodation	Amber	Amber/ superseded	Follow up completed December 2014  2 <sup>nd</sup> follow up completed May 2014 but insufficient progress made to change rating.	Further follow up completed during December 2014. However staffing changes following a restructure has meant a delay in implementing system upgrades so a decision has been taken not to undertake any further follow up's but to undertake a full audit during 2015/16
Service User Journey	Amber	Yellow	Follow up completed March 2014 and opinion changed to Yellow.	2 <sup>nd</sup> follow up has been undertaken during October 2014 and remains Yellow. Due to changes in follow up process no further follow up to be undertaken.
St Mary's Catholic Primary School	Yellow		Follow up due July 2014	Follow up issued but school did not respond, therefore due to changes in audit procedures no further follow up will be undertaken.
Oakengates Children's Centre	Red	Green	Follow up visit undertaken in May 2014. Updated audit opinion to Yellow.	Further follow up completed in October 2014 and sufficient progress had been made to move to Green.
Impulse	Amber	Yellow	Follow up due August 2014	Further follow up completed and moved to yellow. Next review due December 2014.
Contract Management (Revs & Bens)	Yellow	Green	Follow up due August 2014	Follow up undertaken October 2014 and moved to Green
ICT Contract Monitoring	Amber	Green	Follow up due May 2014	Follow up complete and moved to Green.
Minimising Empty Properties	Amber	Yellow	Follow up due July 2014	Follow up complete and moved to Yellow. Further follow up to be undertaken January 2015
Crudgington Primary School	Yellow	Green	Follow up due July 2014	Follow up received September 2014 and moved to Green

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Education catering	Yellow	Yellow	Follow up due Sept 2014	Follow up completed October 2014 – no further follow up to be undertaken
Cafe Go	Amber	Green	Follow up due June 2014	Follow up undertaken December 2014 and moved to Green.
Dawley Primary	Red	Green	Follow up visit undertaken in June 2014. Updated audit opinion to Yellow.	Further follow up completed in November 2014 and moved to Green.
Family Connect	Yellow	Green	Follow up due Sept 2014	Follow up completed in October 2014 and moved to Green.
School Improvement Traded Services	Yellow	Green	Follow up due Sept 2014	Follow up completed October 2014 and moved to Green.
Wellington Leisure Centre & Cafe	Yellow	Green	Follow up due Sept 2014	Follow up completed September 2014 and moved to Green.
Cash Collection	Yellow	Green/ Yellow	Follow up due Sept 2014	Follow ups completed November 2014. Catering, Gypsy & Traveller Sites, Catering and T.E.N moved to Green. Cash Management remained Yellow but will be reviewed as part of annual audit.
Meadows Primary	Yellow	Green	Follow up due Sept 2014	Follow up completed October 2014 and moved to Green.
Foster Homes Reviews	Amber	Yellow	Follow up complete due July 2014 and next review November 2014	Follow up issued so will report back to next appropriate Committee.
Clarity Live	Amber	Yellow	Follow up due July 2014	Follow up complete and moved to Yellow. Next review January 2015
General ledger including assets	Yellow	n/a	As part of annual audit	Included in 2014-15 audit
Contracts & Payroll	Yellow	n/a	As part of annual audit	Included in 2014-15 audit
ICT Contingency arrangements	Yellow	Yellow	Follow up due October 2014	Completed – no further follow up to be undertaken
MIS Headway	Amber	Green	Follow up due October 2014	Follow up completed in December 2014 and moved to Green.
John Randall School	Yellow	Green	Follow up due November 2014	Follow up completed in October 2014 and moved to Green.

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Sir Alexander Fleming School	Yellow	Green	Follow up due November 2014	Follow up completed in October 2014 and moved to Green.
Unstructured Data Storage Audit	Amber	Amber	Follow up due November 2014	Follow up undertaken in November 2014 but insufficient progress made so 2 <sup>nd</sup> follow up due February 2015 as agreed with ICT.
Purchase Ledger	Amber	n/a	As part of annual audit	Included in 2014-15 audit
Burton Borough – Catering Review	Yellow	Green	Follow up due December 2014	Follow up completed in December 2014 and moved to Green.
Leisure Central Admin	Yellow		Follow up due December 2014	Follow up issued so will report back to next appropriate Committee.
Southall Special Schools	Yellow		Follow up due December 2014	Follow up issued so will report back to next appropriate Committee.
Ladygrove Primary School	Yellow			Follow up due January 2015