

Audit	Original Opinion	Updated Opinion	Previous Comments	Status as at 31.12.16
Abacus system & Abacus concerns	Amber Red	Amber/ Yellow	Regular updates to Audit Committee - September 2015 and January 2016.	Verbal update to June 2016 Committee and further report due to September 2016 meeting (see Appendix E).
Digitisation report	Yellow	Green	Follow up due September 2015	Follow up complete – now a green grading.
Section 17	Amber	Yellow	Follow up due November 2015 Second Follow up due May 2016	2nd Follow up complete and moved to Yellow, new processes are being put into place therefore this will be reviewed again in March 2017 once they have had chance to embed
ICT Incident and Capacity Management	Yellow	Green	Follow up due September 2015	Follow up complete – now green
Setting Up home Grant (Leaving Care)	Amber		Follow up due November 2015 but correspondence with Service area about restructure and changes to processes	Meeting with Service area 21/12/16 – agreed to undertake new audit June 2017 as new processes/policies will be coming into force from March/April 2017
Financial Assessment review	Amber	Yellow	Follow up due January 2016	2nd follow up completed November 2016. Due to change in personnel 1 of the recommendations has been delayed in its implementation so 3 rd follow up to be done in February 2017
Transport (adults)	Amber	Amber	Follow up completed March	Meeting arranged for January 2017 to discuss status of agreed recommendations. Follow up postponed due to transport consultation
Leaving care – review of process	Amber	Yellow	Follow up completed December 2016	Follow up complete remains yellow 3 rd follow up due February 2017
Procurement	Yellow		Follow up to commence March 2016	New audit to be undertaken April 2016.
GPC expenditure	Yellow		Follow up due March 2016	New audit to be undertaken 2017-18 due to change in bank
Horsehay	Yellow	Green	Follow up due April 2016	Follow up complete – now a green grading

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APPENDIX C

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Car Parking & Enforcement (Excluding Multi-Storey)	Yellow	Yellow	Follow up due June 2016	1st follow up complete remains yellow, 2 nd follow up due end of December 2016
Adult Social Care Payments	Yellow	Yellow	Follow up due April 2016	1st follow up complete remains yellow 2 nd follow up due end of December 2016
Multi Storey Car park - Southwater	Amber	Yellow	Follow up due March 2016	2 nd follow up completed December 2016 however 2 recommendations remain outstanding due to awaiting system software update and awaiting supporting evidence for recommendation 8 so 3 rd follow up to be undertaken January 2017.
Grange Park Primary School	Amber	Yellow	Follow up due February 2016	Follow up complete March 2016 some items that are outstanding but these will be superseded if the school changes to Academy status. If the school do not change then a further follow up will be undertaken July 2016. The school converted to Academy status from 1 st April 2016 so no follow up will be carried out.
Downing House (now Lakewood Court)	Amber	Yellow	Follow up due September 2016	Follow up in the process of being finalised.
Waste Quality Performance Monitoring	Yellow	Green	Follow up due June 2016	Complete now green grading
Woodlands Primary	Yellow	Green	Follow up due July 2016	Complete now green grading
The Place	Red	Green	Follow up completed April 2016 and moved to Amber.	Next follow up August 2016 – completed and finalised and has moved to Green.
ICT Risk Management	Yellow		Follow up due August 2016	1 st follow up completed Aug but remained Yellow. 2 nd follow up sent and waiting return 11/1/17
ICT Service Desk Review	Yellow		Follow up due August 2016	1 st follow up completed August but remained Yellow. 2 nd follow up due March 2017
Haughton School	Amber	Green	Follow up completed September 2016	Follow up complete now green grading
GIS Application Review	Yellow		Follow up due December 2016	Follow up sent and waiting return
Pre & Post 16 Transport for Education and Training	Amber	Yellow	Follow up due June 2016	Meeting arranged in January 2017 to discuss status of agreed recommendations. Follow up originally postponed due to transport consultation
ICT Third Party Access	Amber	Yellow	Follow up complete	Further follow up due March 2017
St Peters School, Bratton	Amber	Green	Follow up completed October 2016	Complete now green grading

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Newdale Primary	Amber	Green	Follow up completed October 2016	Complete now green grading
Lightmoor Village Primary	Yellow	Green	Follow up completed October 2016	Complete now green grading
ICT programme & Project management	Yellow		Follow up due Sept 2016	Remains yellow. 3 rd follow up due March 2017
Town Park (including Visitor Centre)	Red	Green	Follow up due September 2016	Follow up complete now green grading
P2.net – Concerto Application Review	Yellow	Green	Follow up due Sept 2016	Follow up complete now green grading
ICT Contract Management Arrangements	Yellow	Green	Follow up due Sept 2016	Follow up complete now green grading
Business continuity	n/a		Follow up due Sept 2016	2 nd follow up due Feb 17
General Ledger	Yellow		2016-17 audit	
Contract Waivers	Yellow	Green	Follow up due October 2016	Follow up complete
Property Investment Portfolio – Review of bad debts	Yellow	Green	Follow up due October 2016	Follow up complete now green grading
Data Sharing Audit	Yellow	Green	Follow up due October 2016	Follow up complete now green grading
Captain Webb Primary	Yellow	Green	Follow up completed November 2016	Complete now green grading
Review of PCI Arrangements	Yellow	Green	Follow up due October 2016	Follow up complete now green grading
Cloud Computing	Yellow		Follow up due November 2016	Follow up complete. 2 nd follow up due March 2017
Protocol Application Review	Yellow		Follow up due November 2016	Follow up complete 2 nd follow up due February 2017
Public Health SLA's & MOUs	Yellow		Follow up due November 2016	Follow up in progress
M3 Application Review	Yellow		Follow up due November 2016	Follow up complete 2 nd follow up due March 2017
Information Security	Yellow		Follow up due November 2016	Follow up in progress
Lettings Agency/ Telford Home Finder	Yellow	Green	Follow up due November 2016	Complete now green grading

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Wombridge Primary	Amber	Yellow	Follow up completed November 2016	2 nd follow up due February 2017
Millbrook Primary	Yellow	Green	Follow up completed December 2016	Complete now green grading
Bank Contract PIR	Yellow		N/A	
Wrekin View Primary	Amber	Yellow	Follow up completed October 2016	2 nd follow up due January 2017
Deferred Payments	Yellow		Follow up due November 2016	Follow up in progress
Direct payments follow up (Personal budgets)	Red	Yellow	Follow up due August 2016	Further follow up to be undertaken Feb 2017. Moved to a yellow grading at August follow up but also agreed that further audit to be included in 2017/18 plan.
Catering Commercial Services	Amber	Yellow	Follow up due November 2016	Follow up completed further follow up to be undertaken February 2017
Community Pride Grants	Yellow		Follow up due December 2016	Follow up in progress