

STATUS ON FINAL REPORTS ISSUED JULY 2013 TO DECEMBER 2016 AS AT 31/03/17

APPENDIX C

Audit	Original Opinion	Updated Opinion	Previous Comments	Status as at 31.03.17
Abacus system & Abacus concerns	Amber Red	Amber/ Yellow	Regular updates to Audit Committee - September 2015 and January 2016.	Verbal update to June 2016 Committee and further report due to September 2016 meeting (see Appendix E).
Section 17	Amber	Green	Follow up due November 2015 Second Follow up due May 2016	Follow up complete
Setting Up home Grant (Leaving Care)	Amber	Amber/ New audit 17/18	Follow up due November 2015 but correspondence with Service area about restructure and changes to processes	Agreed to undertake new audit 2017/18
Financial Assessment review	Amber	Green	Follow up due January 2016	3 rd follow up completed
Transport (adults)	Amber	Amber	Follow up completed March	New Audit 2017/18 - Agreed to review revised transport policy including the follow up of these recommendations.
Leaving care – review of process	Amber	Yellow	Follow up completed December 2016	3 rd follow up complete remains yellow
Procurement	Yellow	Yellow	Follow up to commence March 2016	New audit undertaken April 2017
GPC expenditure	Yellow	yellow	Follow up due March 2016	New audit undertaken 2017-18 due to change in bank
Car Parking & Enforcement (Excluding Multi-Storey)	Yellow	Yellow	Follow up due June 2016	3 rd follow up complete remains yellow and therefore no further follow ups will be undertaken.
Adult Social Care Payments	Yellow	Yellow	Follow up due April 2016	Follow up complete remains yellow.
Multi Storey Car park - Southwater	Amber	Yellow	Follow up due March 2016	2 nd follow up completed December 2016 however 2 recommendations remain outstanding due to awaiting system software update and awaiting supporting evidence for one recommendation. Final follow up sent but not returned so remains yellow with no further follow ups.

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Grange Park Primary School	Amber	Yellow	Follow up due February 2016	The school converted to Academy status from 1 st April 2016 so no follow up will be carried out.
Downing House (now Lakewood Court)	Amber	Green	Follow up due September 2016	Follow up complete
ICT Risk Management	Yellow	Yellow	Follow up due August 2016	2 nd follow up carried out Feb 2017 – remains a yellow therefore no further follow up to be undertaken.
ICT Service Desk Review	Yellow	Green	Follow up due August 2016	2 nd follow up received and now changed to a green
GIS Application Review	Yellow	Yellow	Follow up due December 2016	Follow up received January 2017,remains a yellow therefore no further action to be taken
Pre & Post 16 Transport for Education and Training	Amber	Yellow	Follow up due June 2016	New Audit 2017/18 - Agreed to review revised transport policy including the follow up of these recommendations.
ICT Third Party Access	Amber	Yellow	Follow up complete	2 nd follow up received but remains a yellow, no further follow up to be undertaken
ICT programme & Project management	Yellow	<i>yellow</i>	Follow up due Sept 2016	Follow up completed Jan 2017 – remains a yellow therefore no further action
Business continuity	n/a	<i>n/a</i>	Follow up due Sept 2016	Follow up complete. New audit scheduled for 2017/18.
General Ledger	Yellow	n/a	2016-17 audit	2017/18 audit
Cloud Computing	Yellow	Green	Follow up due November 2016	2 nd follow up received, changed to a green - complete
Protocol Application Review	Yellow	Green	Follow up due November 2016	2 nd follow up complete - now green
Public Health SLA's & MOUs	Yellow	Green	Follow up due November 2016	Follow up in complete

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M3 Application Review	Yellow	Yellow	Follow up due November 2016	follow up received December 2016 – remains a yellow no further action being taken
Information Security	Yellow	Yellow	Follow up due November 2016	Follow up in progress – ICT aware that it is outstanding.
Wombridge Primary	Amber	Green	Follow up completed November 2016	2 nd follow up complete 10.03.17
Bank Contract PIR	Yellow	N/a	N/A	No follow up as no changes to implement due to it being a contract
Wrekin View Primary	Amber	Green	Follow up completed October 2016	2 nd follow up complete 21.03.17
Deferred Payments	Yellow		Follow up due November 2016	Follow up in progress
Direct payments follow up (Personal budgets)	Red	Yellow/ New audit 17/18	Follow up due August 2016	Further follow up undertaken Feb 2017. Previously moved to a yellow grading but agreed that further audit to be included in 2017/18 plan
Catering Commercial Services	Amber	Green	Follow up due November 2016	Follow up complete
Community Pride Grants	Yellow	Yellow	Follow up due December 2016	Follow up complete remains Yellow.

Issued quarters 2 and 3 – reported to January 2017 Audit Committee

Making Safeguarding Personal	Yellow	Yellow	Follow up due January 2017	Follow up complete remains yellow.
The Bridge School	Yellow	Green	Follow up due January 2017	Follow up complete 15.02.17
Community Support Finance	Amber	Green	Follow up due October 2016	Follow up complete
Aqueduct Primary	Yellow	Green	Follow up due January 2017	Follow up complete 21.03.17
Supervision Policy Review	Amber		Follow up due January 2017	2 nd follow up now in progress.

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SS Peter & Paul RC Primary School	Yellow	Green	Follow up due January 2017	Follow up complete
Core Groups	Amber		Follow up due October 2016	2 nd follow up now in progress, however also to be included 2017-18 audit
West Road & Petty Cash	Amber		Follow up due October 2016	Two follow up's undertaken and further follow up in progress.
Abraham Darby Leisure Centre	Amber	Green	Follow up due October 2016	2 nd follow up complete
Children's Brokerage – Foster Care	Amber	Green	Follow up due November 2016	2 nd follow up completed moved to green
Leavers Checklist	Yellow		Follow up due January 2017	Follow up in progress
Health & Safety Processes: Corporate H&S Team	Amber Yellow	Yellow Green	Follow up due November 2016	Follow up complete
Support Planning	Yellow		Follow up due March 2017	Follow up in progress
Children's Arrangement orders	Amber	Amber	Follow up due December 2016	No changes, Further follow up to be undertaken May 2017
Treasury Management	Amber	Green	Recommendations to be reviewed as part of 17/18 audit	Additional testing completed moved to green
Church Aston Infant School	Yellow	Green	Follow up due April 2017	Follow up complete
Council Tax & NNDR	Yellow	Annual audit	Recommendations to be reviewed as part of 17/18 audit	Reviewed as part of 2017/18 audit
Sickness Monitoring	Yellow	Green	Follow up due March 2017	2 nd follow up complete
St Lawrence C E Primary School	Yellow	Green	Follow up due April 2017	Follow up complete
Events	Yellow		Follow up due April 2017	In Progress
St Peter's Edgmond	Yellow	Green	Follow up due April 2017	Follow up complete
Temporary accommodation	Amber	Amber	Follow up due quarter 4	2 nd follow up due July 2017
Assistive Technology	Yellow		Follow up due quarter 1 2017/18	In progress
Corporate lease	Yellow		Follow up due quarter 1 2017/18	Follow up to be complete quarter 1
Lilleshall Primary	Yellow		Follow up due quarter 1 2017/18	Follow up due May 2017

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Cash Collection	Yellow		Recommendations to be reviewed as part of 17/18 audit	Recommendations to be reviewed as part of 17/18 audit – June 2017
ICT Back Up and Storage	Yellow		Follow up due June 2017	To be undertaken June 2017
Apley Wood Primary	Yellow		Follow up due quarter 1 2017/18 2017	Follow up due June 2017
Oakengates Leisure Centre	Yellow		Follow up due quarter 1 2017/18	In progress