

**STATUS ON FINAL REPORTS ISSUED APRIL 2014 TO MARCH 2017 AS AT 30/6/17**

**APPENDIX 3**

<b>Audit</b>	<b>Original Opinion</b>	<b>Updated Opinion</b>	<b>Previous Comments</b>	<b>Status as at 30/6/17</b>
Abacus system & Abacus concerns	Amber Red	Amber/ Yellow	Verbal update to June 2016 Committee and further report due to September 2016 meeting (see Appendix E).	This will form part of the Children & Adults system review and is shown as a separate agenda item – see agenda item 5
Setting Up home Grant (Leaving Care)	Amber	Amber/ New audit 17/18	Agreed to undertake new audit 2017/18	To be undertaken 2017/18 plan
Transport (adults)	Amber	Amber	New Audit 2017/18 - Agreed to review revised transport policy including the follow up of these recommendations.	Deferred until quarter 3 due to delay in consultation period
Pre & Post 16 Transport for Education and Training	Amber	Yellow	New Audit 2017/18 - Agreed to review revised transport policy including the follow up of these recommendations	Deferred until quarter 3 due to delay in consultation period
Information Security	Yellow	Yellow	Follow up in progress – ICT aware that it is outstanding.	Follow up was not returned – no further follow up undertaken
Deferred Payments	Yellow	Yellow	Follow up in progress	3 <sup>rd</sup> follow up undertaken March 2017 remained yellow no further follow up
Direct payments follow up (Personal budgets)	Red	Yellow/ New audit 17/18	Further follow up undertaken Feb 2017. Previously moved to a yellow grading but agreed that further audit to be included in 2017/18 plan	New audit to be undertaken December 2017
Supervision Policy Review	Amber	yellow	2 <sup>nd</sup> follow up now in progress.	2 <sup>nd</sup> follow up complete moved to yellow 3 <sup>rd</sup> follow up to be completed
Core Groups	Amber	Yellow	2 <sup>nd</sup> follow up now in progress, however also to be included 2017-18 audit	2 <sup>nd</sup> follow up complete moved to yellow 3 <sup>rd</sup> follow up to be completed
West Road & Petty Cash	Amber	Yellow	Two follow up's undertaken and further follow up in progress.	3 <sup>rd</sup> follow up complete moved to yellow further visit to be undertaken November 2017

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Leavers Checklist	Yellow	Yellow		1 <sup>st</sup> follow up undertaken – remains yellow
Children’s Arrangement orders	Amber	Amber	No changes, Further follow up to be undertaken May 2017	Follow up to be undertaken September
Temporary accommodation	Amber	Yellow	2 <sup>nd</sup> follow up due July 2017	3 <sup>rd</sup> Follow up to be undertaken September
Assistive Technology	Yellow	Yellow	In progress	1 <sup>st</sup> follow up completed remains yellow further follow up to be completed
Corporate lease	Yellow		Follow up to be complete quarter 1	Follow up in progress
Lilleshall Primary	Yellow		Follow up due May 2017	Follow up in progress
Cash Collection	Yellow		Recommendations to be reviewed as part of 17/18 audit – June 2017	Follow up in progress
ICT Back Up and Storage	Yellow	yellow	To be undertaken June 2017	1 <sup>st</sup> follow up complete remains yellow no further follow up
Apley Wood Primary	Yellow	Green	Follow up due June 2017	Follow up complete
Oakengates Leisure Centre	Yellow	yellow	In progress	1 <sup>st</sup> follow up completed remains yellow 2 <sup>nd</sup> follow up to be completed

**REPORTS ISSUED QUARTER 4 – REPORTED TO JUNE 2017 AUDIT COMMITTEE**

Audit	Original Opinion	Updated Opinion	Previous Comments	Status as at 30/6/17
Sales ledger	Revs = yellow Legal = Amber Corporate = Yellow	n/a	2017-18 audit	To be followed up as part of 2017/18 audit
Teagues Bridge Primary	Amber	Green	April 2017	Follow up complete
Transition Process	Yellow	yellow	July-September 2017	1 <sup>st</sup> follow up completed remains yellow 2 <sup>nd</sup> follow up to be completed

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Personal Safety Precaution (PSP) Register processes	Yellow		July-September 2017	Follow up in progress
Anti-Virus Arrangements*	Amber	yellow	May/June 2017	1 <sup>st</sup> follow up complete regraded to yellow. 2 <sup>nd</sup> follow up planned January 2018

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Control of Privileged User and Tools*	Amber	Amber	May/June 2017	1 <sup>st</sup> follow up complete remained amber. 2 <sup>nd</sup> follow up planned January 2018
Software Licensing*	Yellow		August 2017	Follow up in progress
Arthog	Amber		June 2017	Follow up in progress
John Fletcher of Madeley	Yellow		Follow up due September 2017	Follow up due September 2017
Additional Payments to Foster Carers	Amber		June 2017	Postponed until September at request of SDM due to turnover of key staff
Benefits	Yellow		2017/18 audit	To be followed up as part of 2017/18 audit
Blue Badge	Yellow		2017/18 audit	To be followed up as part of 2017/18 audit
Payroll*	Yellow		2017/18 audit	To be followed up as part of 2017/18 audit
Ice Rink Vending	Yellow		July –Sept	Follow up in progress
ICT Network Management*	Yellow		Follow up due September 2017	Follow up due September 2017
Shortwood Primary	Yellow		Follow up due September 2017	Follow up due September 2017
CRM ICT Application*	Yellow		Follow up due September 2017	Follow up due September 2017
EDMS ICT Application*	Yellow		Follow up due September 2017	Follow up due September 2017
General Ledger	Yellow		2017/18 audit	To be followed up as part of 2017/18 audit

